#### **COUNTRY SUPPLEMENT**

# TRIUM UCITS PLATFORM PLC ("THE COMPANY")

## ADDITIONAL INFORMATION FOR INVESTORS IN SWITZERLAND

This Country Supplement, dated 8 May 2017, forms part of, and should be read in conjunction with the Prospectus for the Company dated 8 May 2017 (hereinafter referred to as the "Prospectus") and Supplements to the Prospectus.

#### Notice to Investors in Switzerland:

The distribution of Shares in Switzerland will be exclusively made to, and directed at, qualified investors ("Qualified Investors"), as defined in the Swiss Collective Investment Schemes Act of 23 June 2006, as amended and its implementing ordinance. Accordingly, the Fund, as organized under the laws of the Ireland, has not been and will not be registered with the Swiss Financial Market Supervisory Authority.

## **Swiss Representative:**

Mont-Fort Funds AG, 63 Chemin Plan-Pra, 1936 Verbier, Switzerland (the "Swiss Representative")

## **Swiss Paying Agent:**

Neue Helvetische Bank AG, Seefeldstrasse 215, CH-8008 Zurich, Switzerland

# Location where the relevant documents may be obtained:

This Prospectus and any other offering materials relating to the Shares, as well as the annual reports may be obtained free of charge from the Swiss Representative.

## **Payment of Retrocessions and Rebates**

The Investment Manager, the Sub-Investment Manager and their agents may pay retrocessions as remuneration for distribution activity in respect of the Shares in Switzerland or from Switzerland.

This remuneration may be deemed payment for the following services in particular:

- Supporting the client onboarding process as well as the subscription and redemption process of Shares
- Responding to Shareholder and prospective Shareholder gueries
- Making available current marketing and fund research material
- Performing delegated due diligence duties and monitoring of distribution restrictions
- Events and Reports

Retrocessions are not deemed to be rebates even if they are ultimately passed on, in full or in part, to Shareholders. The recipients of the retrocessions must ensure transparent disclosure and inform Shareholders, unsolicited and free of charge, about the amount of remuneration they may receive for distribution.

On request, the recipients of retrocessions must disclose the amounts they actually receive for distributing the Fund of the Shareholders concerned.

In the case of distribution activity in or from Switzerland, the Investment Manager, the Sub-Investment Manager and/or their agents may, upon request, pay rebates directly to Shareholders.

The purpose of rebates is to reduce the fees or costs incurred by the Shareholder in question. Rebates are permitted provided that

- they are paid from fees due to the Investment Manager or the Sub-Investment Manager and therefore do not represent an additional charge on the Fund's assets;
- they are granted on the basis of objective criteria;
- all Shareholders who meet these objective criteria and demand rebates are also granted these within the same timeframe and to the same extent.

The objective criteria for the granting of rebates by the Investment Manager or the Sub-Investment Manager are as follows:

- The level of assets invested by the Shareholder in the Fund and other products which form part of the product offering of the promoter of the Fund;
- The level of fees paid by the Shareholder to the Investment Manager, the Sub-Investment Manager or its delegates;
- The investment behaviour shown by the Shareholder (e.g. expected investment period);
- The Shareholder's willingness to provide support in the launch phase of the Fund

At the request of the Shareholder, the Investment Manager or the Sub-Investment Manager must disclose the amounts of such rebates free of charge.

## Place of Performance and Jurisdiction

In respect of the units distributed in and from Switzerland, the place of performance and jurisdiction is the registered office of the Swiss Representative.